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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/825,583	04/15/2004	Joachim Schmidt	2133.034USU

CONFIRMATION NO. 8182

## FORMALITIES LETTER



\*OC000000013074485\*

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10th Floor  
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Stamford, CT 06901-2682

**RECEIVED**

JUN 28 2004

OHLANDT, GREELEY  
RUGGIERO & PERLE, LLP

Date Mailed: 06/25/2004



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$36 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

08/17/2004 EABRAHA1 00000064 10625583

SUMMARY OF FEES DUE:

01 FC:1001	770.00 OP
02 FC:1051	130.00 OP
07 FC:1202	36.00 OP

Total additional fee(s) required for this application is \$936 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$36**
  - **\$36** for **2** total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Joachim Schmidt  
Serial No.: 10/825,583  
For: Process and Device for the Packet -Oriented Transmission of Security-  
Relevant Data  
Filed: April 15, 2004  
Examiner: Not Yet Assigned  
Art Unit: 2131  
Confirmation No.: 8182  
Customer No.: 27,623  
Attorney Docket No.: 2133.034USU

**Mail Stop Missing Parts  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Dear Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney executed by Joachim Schmidt;
- ☐ The undersigned attorney has verified that the above-identified applicant is entitled to Small Entity Status.;
- ☐ \_\_\_\_\_ Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to : **Phoenix Contact GmbH & Co. KG**, including 40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☒ A Certified Copy of the Priority Document; DE 10318068.0 filed April 17, 2003 with request for entry of priority claim
- ☒ Information Disclosure Statement (Form - 1449); and

☒ A copy of the Notice to File Missing Parts of Application Filing Date Granted.

☐ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

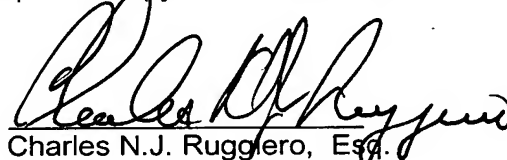
CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$770.00
Total Claims	22- 20 =	2	x \$18.00	\$36.00
Independent Claims	2 - 3 =	0	x \$86.00	\$0
Multiple Dependent Claim Fee		x \$290.00 = \$0.00		
TOTAL FILING FEE				806.00

1/2 FILING FEE FOR SMALL ENTITY	\$
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☒ A check in the amount of \$ **976.00** to cover the filing fee (\$806); assignment recordal fee \$(40.) surcharge is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

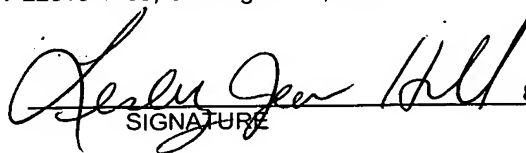
August 13, 2004

  
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**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP MISSING PARTS, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON August 13, 2004.

Lesley-Jean Hill  
NAME.



SIGNATURE

8/13/04

DATE